

Advanced Analog Technology, Inc.

Procedures for Preventing Unethical Conduct

Article 1 Purpose and Scope of Application

This Procedures is formulated in accordance with Articles 6 and 7 of the “Corporate Integrity Management Best Practice Principles for TWSE/TPEX Listed Companies” and Article 16 of the Company’s “Code of Integrity Management” (hereinafter referred to as the “Code”).

As it is impossible for this Procedures to anticipate all possible scenarios involving dishonest conduct, the Company’s directors, independent directors, managers, employees, and substantial controllers shall, in circumstances not covered by the Code or this Procedures, exercise self-discipline in accordance with high moral standards and seek guidance from others to uphold the corporate culture of integrity in business operations.

Article 2 Preventive Measures Against Bribery and the Acceptance of Unreasonable Gifts, Hospitality, or Other Improper Benefits

The Company has established an “Integrity Commitment” prohibiting employees from soliciting, promising to accept, or accepting bribes, hospitality, or other improper benefits from parties having a stake in matters related to their duties. All employees shall comply with this requirement.

The contents of the Company’s “Integrity Commitment” also apply to the Company’s directors, independent directors, and substantial controllers.

Article 3 Preventive Measures Against Bribery and the Provision of Unreasonable Gifts, Hospitality, or Other Improper Benefits

Gifts to suppliers, customers, and business counterparties shall be based on business etiquette, conform to generally accepted social customs, and may only be given with prior approval from the General Manager.

Donations to political parties, political organizations, and prospective candidates shall comply with the Political Donations Act and the provisions of Article 4 of this Procedures.

Article 4 Procedures for Making Lawful Political Donations

The Company may make political donations to political parties, political organizations, and prospective candidates only with prior approval from the Chairperson, and the total annual donation amount shall not exceed NT\$300,000. Political donations shall be made in the Company’s name by check or bank transfer through a financial institution, and an official receipt shall be obtained from the

donation recipient.

Article 5 Procedures and Amount Standards for Making Legitimate Charitable Donations or Sponsorships

Charitable donations shall be limited to organizations or institutions specified in Article 11, Paragraph 4 of the Income Tax Act, and may only be made with prior approval from the General Manager. The total annual donation amount shall not exceed the limit prescribed in Article 79, Paragraph 1, Subparagraph 5 of the Guidelines for Examination of Profit-Seeking Enterprise Income Tax.

Article 6 Regulations for Avoiding Conflicts of Interest Related to Duties, and Their Reporting and Handling Procedures

The Company, its directors, independent directors, managers, employees, and substantial controllers shall remain alert to situations that may give rise to conflicts of interest and shall avoid engaging in activities that conflict with the interests of the Company.

During their term of office, the Company's directors and independent directors shall not, in their own name or in the name of another, engage in activities within the scope of the Company's business, except where the restriction on competition has been lifted by a resolution of the shareholders' meeting.

The Company's managers and employees shall comply with the obligations of loyalty and non-compete provisions stipulated in the "Employment Contract," except where the restriction on competition has been lifted by a resolution of the Board of Directors in the case of managers.

In addition to employees, the Company's directors, independent directors, and substantial controllers shall also comply with the Company's work rules regarding the prohibition of seeking personal gain and avoiding conflicts of interest.

Article 7 Confidentiality Regulations for Business-Related Confidential and Commercially Sensitive Information

In addition to employees, the Company's directors, independent directors, and substantial controllers shall also comply with the Company's work rules regarding the prohibition of seeking personal gain, avoidance of conflicts of interest, copyright and intellectual property rights, and confidentiality obligations.

Employees of the Company shall furthermore comply with the confidentiality and intellectual property provisions stipulated in their Employment Contract.

Article 8 Regulations and Procedures for Handling Suppliers, Customers, and Business Counterparties Involved in Dishonest Conduct

If it is confirmed that a supplier, customer, or business counterparty has offered a

bribe to the Company's directors, independent directors, managers, employees, or substantial controllers, the Company's management shall promptly and firmly communicate the Company's principle of integrity in business operations to the counterparty's management, and, when necessary, may adjust the business relationship with the counterparty.

Article 9 Procedures for Handling and Disciplinary Actions for Violations of the Company's Code of Integrity Management

If directors, independent directors, managers, employees, or substantial controllers become aware of any suspected conduct in violation of this Code or this Procedures, they may report it via the email address whistleblower@aatech.com.tw, directly to the head of the Audit Department or the head of the Human Resources Department, or through their department head. The Company will form an investigation team to conduct an inquiry, and the identity of the whistleblower as well as the reported content will be kept confidential.

Managers or employees found to have violated this Code or this Procedures will be subject to disciplinary actions in accordance with the Company's relevant regulations. In cases where the violation is serious enough to constitute grounds for termination under the Labor Standards Act, or falls under any of the circumstances specified in Article 9, Paragraph 1, Chapter 3 of the "Work Rules," it shall be deemed a breach of the employment contract, and the Company may terminate the employment.

Article 10 Implementation

This Procedures shall be implemented upon approval by the Board of Directors, and the same shall apply to any amendments.

Article 11 Formulation and Amendment

This Procedures was formulated on March 15, 2011.