

Advanced Analog Technology, Inc.

Procedures for Prevention of Insider Trading

Article 1 Purpose

These Procedures are established to prevent the Company or its insiders from inadvertently or intentionally violating insider trading regulations, which could result in litigation and reputational damage. The guidelines aim to prevent insider trading, protect investors, and safeguard the Company's interests.

Article 2 Scope

The Company's management operations for preventing insider trading shall comply with these guidelines. However, if other laws provide specific requirements, those laws shall prevail.

Article 3 Responsible Units

1. The Company's Office of the General Manager is responsible for the formulation and maintenance of these guidelines.
2. The Company's Spokesperson is responsible for externally disclosing the Company's material information.

Article 4 Regulated Subjects

According to Article 157-1, Paragraph 1 of the Securities and Exchange Act, the following persons are subject to insider trading prohibitions:

1. The Company's directors, independent directors, managers, and natural persons designated to exercise duties under Article 27, Paragraph 1 of the Company Act.
2. Shareholders holding more than 10% of the Company's shares.
3. Persons who obtain material information due to their professional or control relationship.
4. Persons who have lost any of the statuses listed in the first three items within the past six months.
5. Persons who acquire material information from any of the individuals listed in the first four items.

In addition, according to Article 22-2 of the Securities and Exchange Act, the shareholding of the Company's directors, independent directors, managers, or shareholders holding more than 10% of the Company's shares includes shares held by their spouses, minor children, or in the names of others.

Article 5 Insider Trading (Equity Securities)

According to Article 157-1, Paragraph 1 of the Securities and Exchange Act, a regulated person who becomes aware of material information that may significantly affect the stock price of a listed company shall not, before the information is publicly disclosed or within eighteen hours after its disclosure, buy or sell the company's listed stocks or other equity-type securities at a securities broker's office, either personally or through another person. Violating this provision constitutes insider trading.

Article 6 Insider Trading (Non-Equity Corporate Bonds)

According to Article 157-1, Paragraph 2 of the Securities and Exchange Act, a regulated person who becomes aware of material information that may significantly affect a stock-issuing company's ability to pay principal or interest shall not, before the information is publicly disclosed or within eighteen hours after its disclosure, sell the company's listed or over-the-counter non-equity corporate bonds, either personally or through another person. Violating this provision constitutes insider trading.

Article 7 The term "material information that may significantly affect the stock price" referred to in Article 5 means information involving the Company's finances, operations, or the market supply and demand of the securities, or a tender offer, where the specific content has a significant impact on the stock price or is likely to materially influence the investment decisions of a reasonable investor.

The "material information that may significantly affect the ability to pay principal or interest" referred to in Article 6, including its scope and disclosure methods, shall follow the "Regulations Governing the Scope and Disclosure Methods of Material Information under Article 157-1, Paragraphs 5 and 6 of the Securities and Exchange Act" as established by the competent authority.

Article 8 For the Company's handling of internal material information confidentiality, processing and disclosure of internal material information, management of unusual situations, and

education and awareness activities, please refer to the Company's established "Internal Material Information Handling Procedures."

Article 9 These procedures shall be implemented after approval by the Board of Directors, and the same applies to any revisions.

The first revision was on November 17, 2011.

The second revision was on November 9, 2022.